


FORM PTO-1390 (REV 12-29-99)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				441P066	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/807319</b>	
INTERNATIONAL APPLICATION NO. PCT/JP99/05783		INTERNATIONAL FILING DATE 20 October 1999		PRIORITY DATE CLAIMED 22 October 1998	
TITLE OF INVENTION <b>EXPLOSIVE COMPOSITION FOR FIREWORKS AND METHOD FOR MANUFACTURING THE SAME</b>					
APPLICANT(S) FOR DO/EO/US Yoshiyuki Muneno and Toshio Matsuzawa					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p>1. Copy of the International Search Report;</p> <p>2. Copy of Form PCT/IB/308; and</p> <p>3. Copy of Form PCT/IB/304.</p>					

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <b>09/807319</b>		INTERNATIONAL APPLICATION NO. PCT/JP99/05783		ATTORNEY'S DOCKET NUMBER 441P066	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$ 860 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 710 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	15 - 20 =	0	X \$18.00	\$ 0	
Independent claims	3 - 3 =	0	X \$80.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$270.00	\$ 270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,260.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ -	
<b>SUBTOTAL =</b>				<b>\$ 1,260.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,260.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property .				\$ -	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,260.00</b>	
				Amount to be:	\$
				refunded	
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,260.00</u> to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-0930</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Kevin S. Lemack Niels & Lemack 176 E. Main Street - Suite 8 Westboro, MA 01581				 SIGNATURE Kevin S. Lemack NAME 32,579 REGISTRATION NUMBER	

**SPECIFICATION**

Explosive composition for fireworks and method for manufacturing the same

Field of the Invention

The present invention relates to pyrotechnic compositions widely used in propellants, illuminating compositions, colored flame compositions, colored smoke compositions, ignition compositions and the like. More particularly, it relates to the pyrotechnic compositions capable of creating many effects such as color, motion, light, smoke, noise and the like as well as method for preparation thereof.

Background of the Invention

A pyrotechnic composition is generally prepared by adding a fuel to an oxidizing agent. The fuel reacts with oxygen derived from the oxidizing agent to produce an oxidized product together with heat. Taking advantage of this heat, many effects such as color, motion, light, smoke, noise and the like can be created.

The pyrotechnic composition is typically employed as firework composition wherein a flame reaction plays the role. For example, to make a red color, a strontium salt is used as a color producing agent. Although strontium nitrate had been used, strontium carbonate is mainly used at present since it is very stable and gives a beautiful color. Similarly, to make a green color, barium nitrate is typically used. To make a yellow color, sodium oxalate and calcium carbonate are typically used. To create a blue color, pairs green and copper oxide are typically used. To

make a purple color, a mixture of strontium carbonate and copper oxide is typically used. To make a white color, aluminum is typically used.

Japanese launching fireworks are generally "Chrysanthemum type round shells" blooming in circle. In the round shell, "stars" are arranged inside around a package such that the stars burn all together and also go out all together. As the star, a spherical star called as "a multi-coated star" is used. The spherical star is prepared by directly putting in a rolling caldron such as a concrete mixer a round cereal such as a foxtail millet seed and a rape seed or shot which is used as a core, adding a mixture comprising an oxidizing agent, a fuel, a color producing agent and a paste together with water while rotating the rolling caldron to grow a star until a layer resulting from the mixture having a suitable thickness is adhered to the core, and spreading over a drying table to be dried. After drying well, the star is charged in the rolling caldron again to further grow. This work is repeated until the star having a desired size is obtained. For example, in order to obtain a star of 20.5 mm in diameter used for a 10-gou (=30 cm) aerial shell, the above work is repeated about 35 times and it takes at least about 17 days to finish.

In a fireworks display, exhibition fireworks are indispensable. The exhibition firework includes lances and quick matches. "Lance" comprises a paper pipe in which a mixture of an oxidizing agent, a color producing agent and a fuel is filled, such as a "susuki (eulalia)" and a "torch" of toy fireworks. In order to make six colors, i.e. red,

green, yellow, blue, purple and white (silver) colors, suitable color producing agents are used.

Operations for the manufacture of stars and lances are laborious. Especially in the manufacture of stars, it takes a considerable time to finish as described above, which lowers the productivity. In the manufacture of lances, the operation of uniformly filling a powder in a paper pipe is laborious.

#### Summary of the Invention

The present inventors investigated hardly to develop a pyrotechnic composition, especially useful as a firework composition which can be prepared while simplifying a laborious process for manufacturing stars and lances and has a display effect similar to that of the prior stars and lances. As a result, the inventors found that a pyrotechnic composition comprising specific chemicals can attain the above object. Based on this finding, the present invention was competed.

That is, the present invention relates to:

- (1) a pyrotechnic composition comprising nitrocellulose, an oxidizing agent, a fuel and a color producing agent as main components;
- (2) a pyrotechnic composition prepared by mixing nitrocellulose and a nitro compound other than nitrocellulose to prepare a composition in a gel form, mixing an oxidizing agent, a fuel and a color producing agent to the composition and then drying;

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- (3) a pyrotechnic composition as defined in (2) wherein the composition in the gel form comprises 3 to 40 % by weight of nitrocellulose and 97 to 60 % by weight of the nitro compound other than nitrocellulose;
- (4) a pyrotechnic composition as defined in any one of (1) to (3) wherein the content of nitrocellulose in the pyrotechnic composition is 0.6 to 12 % by weight;
- (5) a pyrotechnic composition as defined in any one of (1) to (4) wherein the contents of the oxidizing agent, the fuel and the color producing agent are 40 to 60 % by weight, 10 to 25 % by weight and 15 to 50 % by weight, respectively;
- (6) a pyrotechnic composition as defined in any one of (1) to (5) which is a star or a lance of fireworks; and
- (7) a method for the preparation of a pyrotechnic composition comprising mixing nitrocellulose and a nitro compound other than nitrocellulose to prepare a composition in a gel form, mixing an oxidizing agent, a fuel and a color producing agent to the composition and then drying;
- (8) a method for the preparation of a pyrotechnic composition as defined in (7) wherein the composition in the gel form comprises 3 to 40 % by weight of nitrocellulose and 97 to 60 % by weight of the nitro compound other than nitrocellulose;
- (9) a method for the preparation of a pyrotechnic composition as defined in (7) or (8) wherein the amount of the composition in the gel form is 10 to 30 % by weight and

the total amount of the oxidizing agent, the fuel and the color producing agent is 90 to 70 % by weight;

(10) a method for the preparation of a pyrotechnic composition as defined in any one of (7) to (9) wherein the nitro compound other than nitrocellulose is nitroalkane;

(11) a method for the preparation of a pyrotechnic composition as defined in (10) wherein the nitroalkane is one or more selected from the group consisting of nitromethane, nitroethane and nitropropane;

(12) a method for the preparation of a pyrotechnic composition as defined in any one of (7) to (11) wherein the pyrotechnic composition is a star or a lance of fireworks.

#### Embodiment of the Invention

The present invention will be described in more detail.

As nitrocellulose used in the pyrotechnic composition of the present invention, nitrocellulose having any type called as a gun cotton, a collodion cotton or a friable cotton is usable. Nitrocellulose for dynamite included in the collodion cotton is also usable. Nitrocellulose for dynamite containing 11 to 12.5 % by weight of nitrogen may be used in a dry state. Water may be added thereto for safety. The use of nitrocellulose containing 25 to 30 % by weight of water is preferable for safety. The content of nitrocellulose in the pyrotechnic composition is 0.6 to 12 % by weight, preferably 1 to 6 % by weight.





an anion such as nitrate, chlorate, perchlorate, chromate, oxide, dichromate ions is preferable. As a cation in the oxidizing agent, alkali metal such as lithium, sodium and potassium, alkaline earth metal such as calcium, strontium and barium, and ammonium ions are preferable. Examples of the usable oxidizing agent include ammonium nitrate, potassium nitrate, sodium nitrate, barium nitrate, strontium nitrate, ammonium perchlorate, potassium perchlorate, potassium chlorate, barium chlorate and the like. The oxidizing agent may be used singly or in combination.

The content of the oxidizing agent in the pyrotechnic composition of the present invention is generally 30 to 70 % by weight, preferably 40 to 60 % by weight based on the total pyrotechnic composition.

As the fuel used in the pyrotechnic composition of the present invention, the use of a material reacting with oxygen derived from the oxidizing agent to produce an oxidized product together with heat is preferable. Taking advantage of the heat produced, various effects such as color, motion, light, smoke and noise are created. Many materials capable of being involved in a combustion can be used as the fuel, but the fuel is suitably selected depending on a variety of factors such as an amount of heat output, a rate of heat release, an ease of availability, a stability in the composition, a gas yield and the like. The fuel usable in the present invention is roughly classified into three types, i.e. metals, non-metallic elements and organic compounds.

As the metallic fuel, aluminum, magnesium, magnalium, titanium, iron filings and a mixture thereof can be used. As the non-metallic fuel, sulfur, boron, silicon, phosphor and a mixture thereof can be used. As the organic fuel, shellac, red gum, charcoal, wood flour, carbohydrate, natural phenolic resin (for example, VINZOL<sup>TM</sup>, Combustion agent BL manufactured by RikaHercules), chlorinated rubber (for example, ADEKAPRENE<sup>TM</sup> D-1 manufactured by Asahi Denka Kogyo), phenolic resin (for example, RESITOP<sup>TM</sup> PGA-2400 manufactured by Gun-ei Chemical Industrial Co. Ltd.), pine tar pitch and a mixture thereof can be used. Each of the metallic fuel, the non-metallic fuel and the organic fuel may be used singly or in combination.

The content of the fuel used in the pyrotechnic composition of the present invention is determined depending on the aforementioned factors. It is preferably 5 to 40 % by weight, more preferably 10 to 25 % by weight in the composition.

As the color producing agent used in the pyrotechnic composition of the present invention, any substance showing a flame reaction after burned can be used. As a red color producing agent, strontium salts such as strontium carbonate and the like are preferably used. As a green color producing agent, barium salts such as barium nitrate and the like are preferably used. As a blue color producing agent, copper salts such as copper oxide, basic copper carbonate, copper sulfate and the like are preferably used. As a purple color producing agent, a mixture of strontium carbonate and copper oxide is preferably used. As a yellow color producing agent, sodium salts such as sodium oxalate,

cryolite and the like are preferably used. And, the conventional color matching technique by adjusting and mixing several color producing agents so as to obtain a desired color may be employed.

The content of the color producing agent used in the pyrotechnic composition is preferably 5 to 50 % by weight, more preferably 15 to 50 % by weight. Although a part of the nitrates such as barium nitrate has also a property of oxidizing agent, an amount of such a nitrate is calculated as the color producing agent herein. The pyrotechnic composition of the present invention comprises 30 to 70 % by weight (preferably 40 to 60 % by weight) of the oxidizing agent, 5 to 40 % by weight (preferably 10 to 25 % by weight) of the fuel and 5 to 50 % by weight (preferably 15 to 50 % by weight) of the color producing agent, as described above.

The pyrotechnic composition of the present invention is prepared by mixing nitrocellulose, the oxidizing agent, the fuel and the color producing agent, adding the nitro compound other than nitrocellulose thereto and then manually mixing it by using a simple stirrer such as a bamboo spatula or the like or mechanically mixing to prepare a plastic-like composition followed by drying.

As described above, the pyrotechnic composition of the present invention can be prepared by merely mixing nitrocellulose, the oxidizing agent, the fuel, the color producing agent and the nitro compound other than nitrocellulose and then drying. However, a method comprising first mixing nitrocellulose and the nitro compound other than nitrocellulose to prepare a composition

in a gel form, adding the oxidizing agent, the fuel and the color producing agent to the composition in the gel form, if necessary forming into a desired shape and then drying is more preferable.

Thus, for the preparation of the pyrotechnic composition of the present invention, nitrocellulose and the nitro compound other than nitrocellulose are charged into a container and mixed manually using a simple stirrer or mechanically using a mixer such as a kneader to prepare a composition in a gel form, to which the oxidizing agent, the fuel and the color producing agent are added and uniformly mixed to prepare a plastic-like mixture. In this connection, the oxidizing agent, the fuel and the color producing agent may be mixed followed by mixing the resultant mixture with the composition in the gel form. Alternatively, they may be added successively to the composition in the gel form. Any mixer other than the kneader may be used as long as it has both stirring and mixing functions. The ratio of the total amount of the oxidizing agent, the fuel and the color producing agent to the gel composition is preferably 10 to 30 % by weight: 90 to 70 % by weight.

The composition in the gel form is generally prepared by mixing 3 to 40 % by weight (preferably 15 to 25 % by weight) of nitrocellulose and 97 to 60 % by weight (preferably 85 to 75 % by weight) of the nitro compound other than nitrocellulose.

As obvious for those skilled in the art, when the pyrotechnic composition of the present invention is applied to stars, it is shaped into a sphere and dried to be cured,

thereby a star having a sufficient strength can be obtained. When the pyrotechnic composition of the present invention is applied to lances, it is shaped into a bar by extruding through a die in a container, cut into a predetermined length and dried to be cured. Or, after the extrusion into a bar, the composition may be dried and cured. Thereafter, it is wrapped with a paper. Alternatively, a gel may be filled in a paper pipe and then dried. A drying temperature is generally 30 to 70°C, preferably 50 to 60°C. Drying may be conducted under reduced pressure. Since the nitro compound other than nitrocellulose is evaporated via the drying process, the pyrotechnic composition of the present invention contains a minor amount of such a nitro compound.

Since nitrocellulose, the oxidizing agent, the fuel, the color producing agent and the like cannot be evaporated according to the method for the preparation of the present invention, they are present in the proportion originally added when the pyrotechnic composition of the present invention is prepared.

### Examples

The present invention will be described in more detail by referring to the following examples which are not intended to limit the invention.

#### Example 1

4 Parts by weight of nitrocellulose (nitrocellulose for dynamite, containing 11.9 to 12.2% of nitrogen) and 16 parts by weight of nitrobenzene were mixed to prepare a composition in a gel form. While, 46.4 parts by weight of

potassium perchlorate (oxidizing agent), 3.6 parts by weight of hemp coal (fuel), 6.8 parts by weight of VINZOL™ (Combustion agent BL, manufactured by RikaHercules), 6.8 parts by weight of ADEKAPRENE™ D-1 (chlorinated rubber, manufactured by Asahi Denka Kogyo) and 2.4 parts by weight of RESITOP™ PGA-2400 (phenolic resin, manufactured by Gun-ei Chemical Industrial Co. Ltd.) (total 19.6 parts by weight) were mixed with 14 parts by weight of copper oxide (color producing agent). The resultant mixture was mixed with the above composition in the gel form, shaped into a sphere, dried in a drier at 50 to 60°C to be cured. Thus, a blue star for aerial shell having the diameter of 20 mm and comprising the pyrotechnic composition of the present invention was obtained. By igniting, the blue star was confirmed to have an effect of blue flame similar to that of the prior blue star for aerial shell.

#### Example 2

4 Parts by weight of nitrocellulose as used in Example 1 and 16 parts by weight of nitromethane were mixed at room temperature to prepare a composition in a gel form. While, 44 parts by weight of potassium perchlorate (oxidizing agent), 3.6 parts by weight of hemp coal (fuel), 6.8 parts by weight of VINZOL™ (Combustion agent BL, manufactured by RikaHercules), 6.8 parts by weight of ADEKAPRENE™ D-1 (chlorinated rubber, manufactured by Asahi Denka Kogyo) and 2.8 parts by weight of RESITOP™ PGA-2400 (phenolic resin, manufactured by Gun-ei Chemical Industrial Co. Ltd.) (total 20 parts by weight) were mixed with 16 parts by weight of strontium carbonate (color producing agent). The resultant mixture was mixed with the above composition in the gel form,